

Client's Name/客戶姓名: _____

Account Number/客戶號碼: _____

Bright Smart Securities International (H.K.) Limited ("BSSI")
Notice of Renewal of Standing Authority under the Securities and Futures (Client Securities) Rules Section 4(3)

Due to the customers in our company are having different account opening dates, in order to fulfill the purpose of uniformity arrangement, we stated 30 September in every year as the expiry date for the aforesaid standing authority. According to our records, you have granted standing authority in relation to Client Securities ("the said Standing Authority") to BSSI which will be expired on 30 September 2019.

Pursuant to Section 4(3) of the Securities and Futures (Client Securities) Rules, we hereby give you formal notice to you reminding you the expiry of the said Standing Authority on 30 September 2019. Unless we receive your written objection addressing to our compliance officer (which becomes effective upon actual receipt by BSSI) **on or before 30 September 2019**, the said Standing Authority will be renewed upon its expiry upon the same terms and conditions as specified therein for another period **from 1 October 2019 to 30 September 2020**.

We hereby refer you to the risk disclosure statement in respect of providing authority to repledge the securities collateral. The same is extracted and attached hereto for your easy reference.

We hereby also refer you to the personal information statement. Please also inform us if you have any changes in personal details. Unless we receive your notification on or before 30 September 2019, your personal information will be deemed unchanged.

If you have any query, please call our Customer Service Hotline at 25 371 371. We are looking forward to providing quality services to you in the future.

Yours faithfully,



Compliance Manager
Bright Smart Securities International (H.K.) Limited

耀才證券國際(香港)有限公司("耀才證券")
根據證券及期貨(客戶證券)規則第4(3)條之常設授權續期通知

由於本公司客戶的開戶日期各有不同，為達致統一處理上述續期通知事宜，本公司訂於每年9月30日為上述常設授權之屆滿日期。根據本公司記錄顯示閣下曾向耀才證券作出有關客戶證券之常設授權("該常設授權")將於2019年9月30日屆滿。

現根據《證券及期貨(客戶證券)規則》第4(3)條，透過發出本通知書，本公司現給予閣下通知閣下該常設授權將於2019年9月30日屆滿。除非本公司在**2019年9月30日或之前**收到閣下致本公司合規主任的書面反對(以耀才證券確實收到該通知方為有效)，否則閣下的該常設授權將在其屆滿時按其相同條款及條件被予以續期，為期**由2019年10月1日至2020年9月30日止**。

本公司亦請閣下參閱有關授權本公司將閣下的證券抵押品等再質押的風險披露聲明。為方便閣下參閱，有關風險披露聲明的節錄部分亦在本通知下附上。

本公司亦請閣下檢視閣下的個人資料。如客戶個人資料有任何改動請通知本公司。除非本公司在2019年9月30日或之前收到閣下致本公司的通知，否則閣下的個人資料會被視為仍然適用。

如有垂詢，歡迎致電客戶服務熱線25 371 371。我們期待能夠在日後繼續為閣下提供優質之投資服務。



合規部主管
耀才證券國際(香港)有限公司

Client's signature / 客戶簽署: _____

Date/日期: _____

RISK OF PROVIDING AN AUTHORITY TO REPLEDGE YOUR SECURITIES COLLATERAL ETC.

There is risk if you provide the licensed or registered person with an authority that allows it to apply your securities or securities collateral pursuant to a securities borrowing and lending agreement, repledge your securities collateral for financial accommodation or deposit your securities collateral as collateral for the discharge and satisfaction of its settlement obligations and liabilities.

If your securities or securities collateral are received or held by the licensed or registered person in Hong Kong, the above arrangement is allowed only if you consent in writing. Moreover, unless you are a professional investor, your authority must specify the period for which it is current and be limited to not more than 12 months. If you are a professional investor, these restrictions do not apply.

Additionally, your authority may be deemed to be renewed (i.e. without your written consent) if the licensed or registered person issues you a reminder at least 14 days prior to the expiry of the authority, and you do not object to such deemed renewal before the expiry date of your then existing authority.

You are not required by any law to sign these authorities. But an authority may be required by licensed or registered persons, for example, to facilitate margin lending to you or to allow your securities or securities collateral to be lent to or deposited as collateral with third parties. The licensed or registered person should explain to you the purposes for which one of these authorities is to be used.

If you sign one of these authorities and your securities or securities collateral are lent to or deposited with third parties, those third parties will have a lien or charge on your securities or securities collateral. Although the licensed or registered person is responsible to you for securities or securities collateral lent or deposited under your authority, a default by it could result in the loss of your securities or securities collateral.

A cash account not involving securities borrowing and lending is available from most licensed or registered persons. If you do not require margin facilities or do not wish your securities or securities collateral to be lent or pledged, do not sign the above authorities and ask to open this type of cash account.

提供將你的證券抵押品等再質押的授權書的風險

向持牌人或註冊人提供授權書，容許其按照某份證券借貸協議書使用你的證券或證券抵押品、將你的證券抵押品再質押以取得財務通融，或將你的證券抵押品存放為用以履行及清償其交收責任及債務的抵押品，存在一定風險。

假如你的證券或證券抵押品是由持牌人或註冊人在香港收取或持有的，則上述安排僅限於你已就此給予書面同意的情況下方行有效。此外，除非你是專業投資者，你的授權書必須指明有效期，而該段有效期不得超逾12個月。若你是專業投資者，則有關限制並不適用。

此外，假如你的持牌人或註冊人在有關授權的期限屆滿前最少14日向你發出有關授權將被視為已續期的提示，而你對於在有關授權的期限屆滿前以此方式將該授權延續不表示反對，則你的授權將會在沒有你的書面同意下被視為已續期。

現時並無任何法例規定你必須簽署這些授權書。然而，持牌人或註冊人可能需要授權書，以便例如向你提供保證金貸款或獲准將你的證券或證券抵押品借出予第三方或作為抵押品存放於第三方。有關持牌人或註冊人應向你闡釋將為何種目的而使用授權書。

倘若你簽署授權書，而你的證券或證券抵押品已借出予或存放於第三方，該等第三方將對你的證券或證券抵押品具有留置權或作出押記。雖然有關持牌人或註冊人根據你的授權書而借出或存放屬於你的證券或證券抵押品須對你負責，但上述持牌人或註冊人的違責行為可能會導致你損失你的證券或證券抵押品。

大多數持牌人或註冊人均提供不涉及證券借貸的現金帳戶。假如你毋需使用保證金貸款，或不希望本身證券或證券抵押品被借出或遭抵押，則切勿簽署上述的授權書，並應要求開立該等現金帳戶。