

Bright Smart Securities International (H.K.) Limited  
耀才證券國際(香港)有限公司  
Bright Smart Futures & Commodities Company Limited  
耀才期貨及商品有限公司  
Bright Smart Global Bullion Limited  
耀才環球金業有限公司  
Bright Smart Forex Limited  
耀才環球外匯有限公司

Head Office : 10/F, Wing On House, 71 Des Voeux Road Central, H.K.  
總辦事處 : 香港中環德輔道中 71 號永安集團大廈 10 樓全層  
Website 網址 : www.bsgroup.com.hk  
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Bright Smart Securities International (H.K.) Limited ("BS Securities") is licensed to carry on Type 1 regulated activity (dealing in securities) under the Securities and Futures Ordinance (CE No. AEZ575).  
耀才證券國際(香港)有限公司("耀才證券")根據證券及期貨條例獲發牌經營第一類受規管活動(證券交易)(中央編號: AEZ575)。  
Bright Smart Futures & Commodities Company Limited ("BS Futures") is licensed to carry on Type 2 regulated activity (dealing in futures contracts) under the Securities and Futures Ordinance (CE No. ADH427).  
耀才期貨及商品有限公司("耀才期貨")根據證券及期貨條例獲發牌經營第二類受規管活動(期貨合約交易)(中央編號: ADH427)。  
Bright Smart Global Bullion Limited ("BSGB") carries on the business of dealing in Bullion.  
耀才環球金業有限公司("耀才金業")經營貴金屬交易的業務。  
Bright Smart Forex Limited ("BSFL") is licensed to carry on Type 3 regulated activity (leveraged foreign exchange trading) under the Securities and Futures Ordinance (CE No. AZK567).  
耀才環球外匯有限公司("耀才外匯")根據證券及期貨條例獲發牌經營第三類受規管活動(槓桿式外匯交易)(中央編號: AZK567)。

**ACCOUNT OPENING FORM 開戶表格#**  
**(Individual 個人 / Joint 聯名)**

**A. ACCOUNT TYPE 帳戶類別**

**A1. Entity of Client 客戶身份**  **Individual Account 個人帳戶**  **Joint Account 聯名帳戶**

**A2. Account Type(s) 帳戶類別**

- |  |                     |  |
|--|---------------------|--|
| Hong Kong and Global Securities A/C<br>香港及環球證券帳戶 | Cash A/C<br>現金帳戶    | <input type="checkbox"/> Hong Kong and Global Securities A/C 香港及環球證券帳戶<br><input type="checkbox"/> Capital Investment Entrant Scheme A/C 投資移民帳戶  |
| Hong Kong and Global Securities A/C<br>香港及環球證券帳戶 | Margin A/C<br>保證金帳戶 | <input type="checkbox"/> Hong Kong and Global Securities A/C 香港及環球證券帳戶<br><input type="checkbox"/> Hong Kong Day Trade A/C 香港壹開拾帳戶 (國內人仕不適用)<br><input type="checkbox"/> Installments Scheme 分期付款計劃<br><input type="checkbox"/> Hong Kong Stock Options Account 香港股票期權帳戶 |
| Hong Kong and Global Futures A/C<br>香港及環球期貨帳戶    |                     | <input type="checkbox"/> Hong Kong and Global Futures A/C 香港及環球期貨帳戶<br><input type="checkbox"/> Hong Kong Futures 1:2 Day Trade A/C 香港期貨壹開貳帳戶 (國內人仕不適用)<br><input type="checkbox"/> Hong Kong Futures 1:5 Day Trade A/C 香港期貨壹開伍帳戶 (國內人仕不適用)                              |
| Bullion A/C 貴金屬帳戶                                |                     | <input type="checkbox"/> Bullion A/C (HKD) 貴金屬帳戶 (港元)<br><input type="checkbox"/> Bullion A/C (USD) 貴金屬帳戶 (美元)   |
| FX A/C 外匯帳戶                                      |                     | <input type="checkbox"/> FX A/C (HKD) 外匯帳戶 (港元)<br><input type="checkbox"/> FX A/C (USD) 外匯帳戶 (美元)<br><input type="checkbox"/> Other A/C 其他帳戶: _____   |

**A3. Method of Placing Order 落盤方式**  **By Telephone (Manual) 電話專人落盤**  **By Electronics 電子落盤**

<b>B. CLIENT INFORMATION 客戶資料</b>	
<b>Account Holder (1) 帳戶持有人(1)</b>	<b>Account Holder (2) 帳戶持有人(2)</b> <i>(For Joint Account 適用於聯名帳戶)</i>
<b>B1. Personal Information 個人資料</b>	
Name: Mr. / Ms.* (* Please delete if not applicable) 姓名：先生 / 女士 (* 請刪去不適用者) English 英文： _____ Chinese 中文： _____ ID Card / Passport No. 身份證 / 護照號碼： _____ Country of Tax Residence (Refers to Mainland or Hong Kong) 報稅地區 (指內地或香港)： <input type="checkbox"/> 香港 Hong Kong <input type="checkbox"/> 內地 Mainland If the country of tax residence is neither Mainland China nor Hong Kong, please state your Taxpayer Identification Number or equivalent number (TIN) 如閣下的報稅地區並非內地或香港，請列明閣下的(1)報稅地區，及(2)稅務編號或同等編號： _____ Place of birth 出生地點： _____ Nationality 國籍： _____ Date of birth 出生日期： _____ Residential Address 住址： 省 Province: _____ 市 City: _____ _____ Home Tel. No. 住宅電話號碼： _____ Office Tel. No. 公司電話號碼： _____ Mobile Phone No. 手提電話號碼： _____ Fax No. 傳真號碼： _____ Email Address 電郵地址： _____ CCASS Investor Account Name & No. (if any) 中央結算投資者戶口名稱及號碼(如有)： _____	Name: Mr. / Ms.* (* Please delete if not applicable) 姓名：先生 / 女士 (* 請刪去不適用者) English 英文： _____ Chinese 中文： _____ Relationship with Account Holder (1) 與帳戶持有人 (1) 的關係： <input type="checkbox"/> Immediate Family 直系親屬 Please specify 請註明： _____ <input type="checkbox"/> Relatives 親屬 <input type="checkbox"/> Friends 朋友 <input type="checkbox"/> Others 其他： _____ ID Card / Passport No. 身份證 / 護照號碼： _____ Country of Tax Residence (Refers to Mainland or Hong Kong) 報稅地區 (指內地或香港)： <input type="checkbox"/> 香港 Hong Kong <input type="checkbox"/> 內地 Mainland If the country of tax residence is neither Mainland China nor Hong Kong, please state your Taxpayer Identification Number or equivalent number (TIN) 如閣下的報稅地區並非內地或香港，請列明閣下的(1)報稅地區，及(2)稅務編號或同等編號： _____ Place of birth 出生地點： _____ Nationality 國籍： _____ Date of birth 出生日期： _____ Residential Address 住址： 省 Province: _____ 市 City: _____ _____ Home Tel. No. 住宅電話號碼： _____ Office Tel. No. 公司電話號碼： _____ Mobile Phone No. 手提電話號碼： _____ Fax No. 傳真號碼： _____ Email Address 電郵地址： _____ CCASS Investor Account Name & No. (if any) 中央結算投資者戶口名稱及號碼(如有)： _____

**B2. Employment Status 工作狀況**

Name of Employer 僱主名稱： _____		Name of Employer 僱主名稱： _____	
Office Address 公司地址： _____		Office Address 公司地址： _____	
Nature of Business 業務性質： _____		Nature of Business 業務性質： _____	
Position 職位： _____	Years of Service 服務年資： _____	Position 職位： _____	Years of Service 服務年資： _____

**B3. Financial Profile 財務狀況**

Annual Income (HK\$) 每年收入 (港幣)：				Annual Income (HK\$) 每年收入 (港幣)：			
<input type="checkbox"/> <\$500,000	<input type="checkbox"/> \$500,000 ~ \$1,000,000	<input type="checkbox"/> \$1,000,001 ~ \$2,000,000	<input type="checkbox"/> >\$2,000,000	<input type="checkbox"/> <\$500,000	<input type="checkbox"/> \$500,000 ~ \$1,000,000	<input type="checkbox"/> \$1,000,001 ~ \$2,000,000	<input type="checkbox"/> >\$2,000,000
Total Net Value of Assets (HK\$) 資產淨值 (港幣)：				Total Net Value of Assets (HK\$) 資產淨值 (港幣)：			
<input type="checkbox"/> <\$1,000,000	<input type="checkbox"/> \$1,000,000 ~ \$3,000,000	<input type="checkbox"/> \$3,000,001 ~ \$8,000,000	<input type="checkbox"/> >\$8,000,000	<input type="checkbox"/> <\$1,000,000	<input type="checkbox"/> \$1,000,000 ~ \$3,000,000	<input type="checkbox"/> \$3,000,001 ~ \$8,000,000	<input type="checkbox"/> >\$8,000,000
Ownership of Residence 住宅業權：				Ownership of Residence 住宅業權：			
<input type="checkbox"/> Owned 自置 <input type="checkbox"/> Rented 租用 <input type="checkbox"/> Mortgaged 按揭				<input type="checkbox"/> Owned 自置 <input type="checkbox"/> Rented 租用 <input type="checkbox"/> Mortgaged 按揭			
<input type="checkbox"/> Living with Family 與家人同住				<input type="checkbox"/> Living with Family 與家人同住			
Address of Any Owned or Mortgaged Property 如有自置或按揭物業，請填寫物業地址：				Address of Any Owned or Mortgaged Property 如有自置或按揭物業，請填寫物業地址：			
1. _____				1. _____			
2. _____				2. _____			
3. _____				3. _____			

**B4. Client's Investment Profile and Knowledge of Derivative Products 客戶投資概況及對衍生產品的認識**

Investment Objective 投資目的：

Capital Gain & Growth 資本增值       Hedging 對沖       Dividends Income 股息收益       Others 其他： \_\_\_\_\_

Investment Products and Investment Experience 曾買賣產品及投資經驗：

<input type="checkbox"/> Shares 股票	<input type="checkbox"/> < 1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年	<input type="checkbox"/> > 10 years 多於十年
<input type="checkbox"/> CBBC 牛熊證	<input type="checkbox"/> < 1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年	<input type="checkbox"/> > 10 years 多於十年
<input type="checkbox"/> Warrants 衍生權證 (窩輪)	<input type="checkbox"/> < 1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年	<input type="checkbox"/> > 10 years 多於十年
<input type="checkbox"/> Futures 期貨	<input type="checkbox"/> < 1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年	<input type="checkbox"/> > 10 years 多於十年
<input type="checkbox"/> Options 期權	<input type="checkbox"/> < 1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年	<input type="checkbox"/> > 10 years 多於十年
<input type="checkbox"/> Others 其他： _____	<input type="checkbox"/> < 1 year 少於一年	<input type="checkbox"/> 1-5 years 一至五年	<input type="checkbox"/> 6-10 years 六至十年	<input type="checkbox"/> > 10 years 多於十年

Knowledge of Derivative Products 對衍生產品的認識：

1. The Client underwent training or attended courses on derivative products; and/or  
客戶曾接受有關衍生產品的培訓或修讀相關課程；及/或

2. The Client has current or previous work experience related to derivative products; and/or  
客戶現時或過去擁有與衍生產品有關的工作經驗；及/或

3. The Client has executed five or more transactions within the past three years in derivative products, e.g. Derivative Warrants, Callable Bull/Bear Contracts, Stock Options, Futures and Options, Commodities, Structured Products, and Exchange Traded Funds, etc.  
客戶於過去3年曾執行5次或以上有關衍生產品的交易，例如：衍生權證、牛熊證、股票期權、期貨及期權、商品、結構性產品及交易所買賣基金等。

I have the above experience and/or knowledge on derivative product(s).  
本人有上述一個或以上的經驗。

I do not have the above experience and/or knowledge on derivative product(s), but I confirm that I fully read, agreed and understood the relevant risks of the derivative product(s). I understand that I have to acquire enough understanding on derivative product(s) before trading them and I fully accept all relevant risks.  
本人沒有上述經驗，但已細閱、同意及明白所有關於衍生產品的風險聲明，本人明白於買賣有關衍生產品前必須先具備足夠的認識，並願意接受一切有關的風險。

BS Securities, BS Futures and BSFL have accessed the Client based on the information provided above, and have notified the Client that it is compulsory to understand the derivative products before trading them. BS Securities, BS Futures and BSFL have also warned the Client about the nature and risk of the derivative products.  
耀才證券、耀才期貨及耀才外匯已根據以上的資料對客戶進行評估，並已通知客戶買賣有關衍生產品前必須先具備足夠的認識，及提示客戶有關衍生產品之性質及風險。

**B5. Settlement Account Information 結算帳戶資料**

The following bank account is the Settlement Account under and within the meaning of the “Terms and Conditions for Trading Account” and for the relevant settlement arrangements. The Client hereby instructs and authorizes BS Securities, BS Futures, BSGB and BSFL to deposit all payments payable to the Client into the following bank account. BS Securities, BS Futures, BSGB and BSFL shall not be held liable for any loss, expenses or damages suffered by the Client as a result of any delay in depositing such payments caused by any reason whatsoever.

以下的銀行帳戶是《交易帳戶條款及條件》中定義的結算帳戶，並作有關之結算安排。客戶謹此指示及授權耀才證券、耀才期貨、耀才金業及耀才外匯將所有應付客戶之款項存入下列之銀行帳戶。耀才證券、耀才期貨、耀才金業及耀才外匯不會就任何原因延遲存入款項令客戶蒙受任何虧損、開支或賠償承擔任何法律責任。

Currency 貨幣	Name of Bank 銀行名稱	Account No. 帳戶號碼	Name of Account Holder 帳戶持有人名稱
HKD 港幣	_____	_____	_____
USD 美元	_____	_____	_____
CNY 人民幣	_____	_____	_____

**B6. Method of Communication 通訊方法**

Please select one of the following method of communication 請選擇以下其中一項通訊方法：

- By Mail 郵遞：  
 Residential Address 住宅地址       Office Address 公司地址  
 Others 其他：

*(Please note that the statement will be sent to Account Holder (1) by post 請留意結單將以郵遞方式寄往帳戶持有人(1))*

- By E-mail 電子郵件

Please note that BS Securities, BS Futures, BSGB and BSFL reserve the absolute rights to use any method of communication to communicate with the Client as BS Securities, BS Futures, BSGB and/or BSFL deem appropriate from time to time.

請注意耀才證券、耀才期貨、耀才金業及耀才外匯保留最終決定權使用任何其不時認為適當的通訊方式與客戶進行通訊。

**C. AUTHORIZED PERSON 獲授權人士**

I/We (the Client) hereby agree and appoint the following person as the Authorized Person as defined in the “Terms and Conditions for Trading Account”. All account(s) maintained by the Client can be operated on the instructions of the Authorized Person. I/We (the Client) hereby declare that I/we (the Client) shall be fully responsible for any instructions given or purported to be given by the Authorized Person (including but not limiting to the verbal instructions or written instructions), and shall fully indemnify BS Securities, BS Futures, BSGB and BSFL against all damages, losses, costs and expenses whatsoever incurred as a result of any act, inactivity or omission of the Authorized Person.

本人(等)(客戶)謹此委任下列人士作為《交易帳戶條款及條件》中所定義的獲授權人士。客戶持有之所有帳戶可根據獲授權人士之指示運作。本人(等)(客戶)茲聲明對由獲授權人士或聲稱為獲授權人士所發出之任何指示(包括但不限於口頭指示或書面指示)承擔全部責任，並會就耀才證券、耀才期貨、耀才金業及耀才外匯因該等獲授權人士之任何行為，不作為或遺漏而引致的一切賠償、損失、費用及開支向耀才證券、耀才期貨、耀才金業及耀才外匯作出全部彌償。

When I, the Authorized Person listed below, after signing in the signature column herein below, indicated that I accept the abovementioned Client’s authorization to become its Authorized Person. I hereby agree that shall be fully responsible for all the liabilities arising from the Client’s relevant account(s) with BS Securities and/or BS Futures and/or BSGB and/or BSFL and shall fully indemnify BS Securities, BS Futures, BSGB and BSFL against all damages, losses, costs and expenses whatsoever incurred as a result of any act, inactivity or omission of the Client and/or myself.

當本人(獲授權人士)於下列簽署欄內簽署後，即表示同意接受客戶的授權成為其獲授權人士，並同意承擔客戶之帳戶內之一切責任，亦須就耀才證券、耀才期貨、耀才金業及耀才外匯因客戶及/或本人之任何行為，不作為或遺漏而引致的一切賠償、損失、費用及開支向耀才證券、耀才期貨、耀才金業及耀才外匯作出全部彌償。

Name of Authorized Person 獲授權人士姓名：	HKID 香港身份證 / Passport No. 護照號碼：	Accepted and Signed by Authorized Person 獲授權人士接納和簽署：
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Relationship between Authorized Person and Client 客戶與獲授權人士之關係：	Contact No. 聯絡電話：	Confirmed and Signed by Client 由客戶確認及簽署：
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**D. DECLARATION 聲明****D1. Identity Declaration 身份聲明**

- D1.1. Does the Client have any relationship with the director(s) or employee(s) of BS Securities, BS Futures, BSGB or BSFL or their respective associated companies?  
 客戶是否與耀才證券、耀才期貨、耀才金業或耀才外匯或其各自之聯營公司之董事或僱員有任何關係？
- No  Yes, the Client has the following relationship with the director(s) or employee(s) of BS Securities, BS Futures, BSGB or BSFL or their respective associated companies:
- 否 是，客戶與耀才證券、耀才期貨、耀才金業或耀才外匯或其各自之聯營公司之董事或僱員有以下

\_\_\_\_\_  
 (Name of Director or Employee 董事或僱員姓名 / Relationship 關係)

- D1.2. Is the Client a director or an employee or an accredited person of any exchange participant of the Hong Kong Exchange or any licensed or registered person of the Securities and Futures Commission? 客戶是否香港交易所之交易所參與者或證監會之持牌人或註冊人之董事、僱員或認可人士？
- No  Yes, the Client is a director or an employee or an accredited person of the following exchange participant of the Hong Kong Exchange or licensed or registered person of the Securities and Futures Commission: (Please provide details)
- 否 是，客戶為以下香港交易所之交易所參與者或證監會之持牌人或註冊人之董事、僱員或認可人士：(請詳述)

\_\_\_\_\_  
 (Please provide employer's written consent letter 請提供僱主之書面同意書)

- D1.3. The Client is the ultimate and sole beneficial owner(s) of the Account(s) and is fully responsible for all instructions for the operation of the said Account(s).  
 客戶是帳戶的最終及唯一實益擁有人，並完全負責為該(等)帳戶運作所發出的一切指示。

- D1.4. The Client hereby declares that he or she is not a United States resident for Foreign Account Tax Compliance Act (FATCA) purposes, and the income to which the said Account(s) related is not subjected to tax under FATCA. The Client undertakes to notify BS Securities, BS Futures, BSGB, BSFL and relevant authorities within 30 days for any change of circumstances stated in the W8BEN Form, without which the Client agrees that the W8BEN Form will be deemed renewed. The Client shall fully indemnify and hold harmless BS Securities, BS Futures, BSGB and BSFL from and against all claims, damages, losses, costs and expenses whatsoever incurred as a result of such non-compliance or omission.  
 客戶謹此聲明其並非《海外帳戶稅收合規法案》下所定義的美國人士，而且此帳戶下之相關的收入依《海外帳戶稅收合規法案》毋須扣繳稅款。客戶承諾如於 W8BEN 表格中的情況有任何更改，客戶須於 30 天內通知耀才證券、耀才期貨、耀才金業、耀才外匯及相關部門，否則客戶同意該 W8BEN 表格會被當作續期。客戶亦同意悉數對耀才證券、耀才期貨、耀才金業及耀才外匯因客戶違規或遺漏而蒙受的任何索償、損害、損失、費用及開支作出彌償並保證其利益不受損害。

**D2. Related Margin Financing Account(s) 相關保證金融資帳戶 (For Margin A/C only 只適用於保證金帳戶)**

- D2.1. Does the spouse of the Client have a margin account with BS Securities?  
 客戶的配偶是否持有耀才證券的保證金帳戶？

No  Yes (please specify): Name of the Spouse Account No.  
 否 是 (請詳述)： 配偶姓名： 帳戶號碼：

- D2.2. The Client hereby declares and confirms that 客戶特此聲明及確認：
- the Client, either alone or with his/her spouse, is/are not in control of 35% or more of the voting rights of another margin client of BS Securities.  
 客戶並沒有單獨或與配偶共同控制耀才證券其他保證金帳戶持有人 35%或以上之表決權。
  - there is no margin account with BS Securities that is opened by a member of the same group of companies of the Client.  
 客戶並沒有以客戶的同一集團公司旗下之其他公司在耀才證券開立保證金帳戶。

**D3. Client's Declaration, Confirmation and Agreement 客戶聲明、確認及協議**

- D3.1. The Client agrees to open the account(s) listed in Section A2 herein ("Account(s)") with BS Securities and/or BS Futures and/or BSGB and/or BSFL, and is bound by the Account Opening Form, "Acknowledgement by Client", the relevant Sections of the "Terms and Conditions for Trading Account" and all the provisions of the rules and guidelines promulgated by BS Securities, BS Futures, BSGB and/or BSFL from time to time (collectively referred to as "All Those Terms"). All Those Terms have been published at www.bsgroup.com.hk. The Client has already been advised by BS Securities, BS Futures, BSGB and/or BSFL to seek independent legal advice for All Those Terms. The Client agrees that BS Securities, BS Futures, BSGB and/or BSFL can request the Client to sign any documents as required under All Those Terms.

Before opening the Account(s) with BS Securities and/or BS Futures and/or BSGB and/or BSFL, the Client has fully read and understood the contents of All Those Terms and the Client agrees, accepts and confirms all their provisions and agrees and accepts to be bound by All Those Terms. The Client's attention is particularly drawn to Section I to Section VIII, Section XII to Section XIV of the "Terms and Conditions for Trading Account".

客戶同意遵守開戶表格、《客戶守則》、《交易帳戶條款及條件》之相關章數的所有條款及條件及其他由耀才證券、耀才期貨、耀才金業及/或耀才外匯不時發出之守則及指引內之所有條款及條件(統稱“該等條款”)開立此開戶表格A2章節所列出的帳戶(“帳戶”)。該等條款亦已刊載於www.bsgroup.com.hk上。耀才證券、耀才期貨、耀才金業及/或耀才外匯已經建議客戶對上述之該等條款尋求獨立法律意見。客戶同意耀才證券、耀才期貨、耀才金業及/或耀才外匯有權要求客戶簽署該等條款所要求的任何文件。

客戶於開立帳戶前已經細閱及完全明白所有該等條款之內容，客戶並同意、接受及確認該等條款內所有條款及條件，並同意及接納受該等條款的約束。客戶須特別注意《交易帳戶條款及條件》內之第一至第八章、第十二至第十四章。

- D3.2. The Client hereby acknowledges and confirms that the Client has authorized BS Securities, BS Futures, BSGB and/or BSFL to deal with the Client's moneys, securities, the Collateral (as defined in Section III and Section VIII of the "Terms and Conditions for Trading Account") and the Margin (as defined in Section IV, Section XIII and Section XIV of the "Terms and Conditions for Trading Account") upon and in accordance with the relevant part of Section X of the "Terms and Conditions for Trading Account" (the "**Relevant Standing Authority**"). The Client acknowledges and confirms that the contents and effects of the Relevant Standing Authority have been explained to the Client, and the Client fully understands:- (i) the contents and effects of the Relevant Standing Authority; and (ii) The Client hereby authorizes BS Securities, BS Futures, BSGB and BSFL to renew the Relevant Standing Authority at their absolute discretion. The Standing Authority (Client Securities) is applicable to the Margin A/C and Futures A/C Clients while it is not applicable to Clients who only hold a Cash A/C. The Standing Authority (Client Money) is applicable to Clients with multiple accounts while it is not applicable to Clients holding one account only.

客戶謹此知悉及確認，已根據《交易帳戶條款及條件》內第十章之適用部分("適用常設授權")，授權耀才證券、耀才期貨、耀才金業及耀才外匯處置客戶的金錢、證券、抵押品(其定義見《交易帳戶條款及條件》內第三章及第八章)及保證金(其定義見《交易帳戶條款及條件》第四章、第十三章及第十四章)。客戶知悉及確認適用常設授權的內容及作用已獲清楚解釋，而客戶亦完全明白(i)適用常設授權的內容及作用；及(ii)客戶已授權耀才證券、耀才期貨、耀才金業及耀才外匯有絕對的酌情權延續適用常設授權。常設授權(客戶證券)適用於保證金帳戶及期貨帳戶的客戶，並不適用於只持有現金帳戶的客戶。常設授權(客戶款項)適用於持有多於一個帳戶的客戶，並不適用於只持有單一帳戶的客戶。

- D3.3. The Client acknowledges and confirms the Relevant Standing Authority is required to be renewed annually. The Client has authorized BS Securities, BS Futures, BSGB and/or BSFL to renew the Relevant Standing Authority annually and the Client has the choice to reject the renewal. If no written objection is received by mail from the Client, it means the Client agrees and accepts the extension and to be bound by the renewed Relevant Standing Authority.

客戶知悉及確認適用常設授權每年需延續一次。客戶已授權耀才證券、耀才期貨、耀才金業及/或耀才外匯每年延續適用常設授權，客戶可提出反對。如耀才證券、耀才期貨、耀才金業及耀才外匯未收到客戶書面反對，則表示客戶同意及接受延續適用常設授權並繼續受其約束。

- D3.4. The Client declares and confirms that all the information provided in this Account Opening Form is true, complete and correct and authorizes BS Securities, BS Futures, BSGB and BSFL to confirm this from any source. The Client undertakes that he shall immediately inform BS Securities, BS Futures, BSGB and BSFL of any change of the aforesaid information.

The Client acknowledges and agrees that (a) the information contained in this Account Opening Form is collected and may be kept by BS Securities, BS Futures, BSGB and BSFL for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by BS Securities, BS Futures, BSGB and BSFL to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).-

客戶聲稱，所有填寫於本開戶表格的資料均屬真實，完全及正確，並授權耀才證券、耀才期貨、耀才金業及耀才外匯可向任何方面查證。客戶承諾，如客戶提供給耀才證券及/或耀才期貨及/或耀才金業及/或耀才外匯用於開戶的資料有任何的更改及必須立即通知耀才證券、耀才期貨、耀才金業及耀才外匯有關所有提供資料之變更。

本人知悉及同意，耀才證券、耀才期貨、耀才金業及耀才外匯可根據《稅務條例》(第 112 章)有關交換財務帳戶資料的法律條文，(a) 收集本開戶表格所載資料並可備存作自動交換財務帳戶資料用途；及 (b) 把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到帳戶持有人的居留司法管轄區的稅務當局。-

- D3.5. The Client acknowledges and confirms that the following Licensed Person has already explained the Risk Disclosure Statements as set out in Section IX of the "Terms and Conditions for Trading Account" in a language of the Client's choice. The Client confirms that the Client has been invited to ask questions in writing and seek independent legal advice, and that the Client fully understood and accepted all the aforesaid Risk Disclosure Statements.

客戶知悉及確認以下持牌人士已根據客戶所選擇的語言向其提供及解釋《交易帳戶條款及條件》內第九章的風險披露聲明。客戶亦確認已獲邀請透過書面途徑提出問題及尋求獨立法律意見，並確認客戶已完全明白及接受所有上述的風險披露聲明。

- D3.6. The Client acknowledges and confirms to trade derivative products with and through BS Securities and/or BS Futures and/or BSGB and/or BSFL unless otherwise ticked in the box below. The Client confirms and accepts the explanation of risks in trading different derivative products provided by BS Securities, BS Futures, BSGB and/or BSFL. The Client clearly understands the risks that may be caused by trading these derivative products and agrees to bear such risks, as well as to take responsibilities involved.

除非客戶在下面方格內加上剔號，否則客戶知悉及同意與耀才證券及/或耀才期貨及/或耀才金業及/或耀才外匯進行衍生產品買賣交易。客戶現確認及接受耀才證券、耀才期貨、耀才金業及/或耀才外匯就有關交易各類衍生產品作出之風險解釋，客戶清楚明白相關衍生產品所帶來的風險及同意承擔風險及責任。

- I/We (the Client) will NOT trade derivative products with and through BS Securities or BS Futures or BSGB or BSFL. However, if I/We (the Client) start trading derivative products with and through BS Securities and/or BS Futures and/or BSGB and/or BSFL, I/We (the Client) acknowledge and accept the risks that may be caused by trading these derivative products and agrees to bear such risks, as well as to take responsibilities involved.

本人(等)(客戶)不會在耀才證券或耀才期貨或耀才金業或耀才外匯進行衍生產品買賣交易。如本人(等)(客戶)日後決定通過耀才證券及/或耀才期貨及/或耀才金業及/或耀才外匯進行衍生產品買賣交易，即代表本人(等)(客戶)知悉及接受相關衍生產品所帶來的風險及同意承擔風險及責任。

- D3.7. The Client acknowledges and confirms that the Client should pay attention to the announcement / notice promulgated on www.bsgroup.com.hk from time to time.

客戶知悉及確認客戶應不時留意於 www.bsgroup.com.hk 刊載的公告及通知。

D3.8. I/We (the Client) am hereby informed that BS Securities and/or BS Futures and/or BSGB and/or BSFL and/or BS Group Companies intends to use and transfer within BS Group Companies my/our personal data for the direct marketing of: (i) Financial services and investment products; (ii) Related promotional schemes; (iii) Financial and investment advices; or (iv) promotional and marketing events of BS Group Companies for the aforesaid services or products. Such personal data includes my/our name(s), contact details, financial background and statistical data which are provided to BS Group Companies under this Account Opening Form or obtained by BS Group Companies from time to time. I/We understand that I/we may, at any time, require BS Group Companies to cease to use or transfer within BS Group Companies my/our personal data for direct marketing through such channel prescribed by BS Group Companies.

本人(等)(客戶)現獲告知耀才證券及/或耀才期貨及/或耀才金業及/或耀才外匯及/或耀才集團公司擬使用及在耀才集團公司之間轉移本人(等)於耀才集團公司之個人資料以直接促銷：(i) 金融服務和產品；(ii) 相關優惠計劃；(iii) 金融與投資建議；或(iv) 耀才集團公司就前述產品及服務之業務推廣和宣傳活動。該等個人資料包括本人(等)在本開戶表格中向耀才集團公司提供的及耀才集團公司不時獲得的姓名、聯絡詳情、財務背景及統計資料等個人資料。本人(等)明白本人(等)可以隨時通過耀才集團公司指定的渠道要求耀才集團公司停止在直接促銷中使用本人(等)的個人資料。

- I/We (the Client) do not wish the BS Group Companies to use my/our personal data in direct marketing.  
本人(等)(客戶)不希望耀才集團公司使用本人(等)的個人資料作直接促銷用途。
- I/We (the Client) do not wish my/our personal data to be transferred within BS Group Companies.  
本人(等)(客戶)不希望耀才集團公司之間轉移本人(等)的個人資料。

D3.9. Do you agree to trade A-shares through China Connect?

閣下是否同意進行A股交易。

- I agree  
同意
- I do not agree  
不同意

D3.10. I/We (the Client) hereby declare that after signing in the signature column herein below by myself/ourselves (the Client), indicated that I/we (the Client) have already fully read, confirmed, agreed, accepted, understood and am/are willing to comply with All Those Terms (including all the relevant contents and provisions of this Account Opening Form, "Acknowledgement by Client", the relevant Sections of the "Terms and Conditions for Trading Account") and the Risk Disclosure Statements as set out the "Terms and Conditions for Trading Account". In relation to all the above mentioned contents and provisions, I/we (the Client) have been invited to seek independent legal advice and have also understood and accepted all the contents and provisions.

本人(等)(客戶)茲聲明，當本人(等)(客戶)於下列簽署欄內簽署後，即表示本人(等)(客戶)已完全細閱、確認、同意、接受、明白及願意遵守該等條款(包括本開戶表格內各項之所有內容、《客戶守則》、《交易帳戶條款及條件》之相關章數)及《交易帳戶條款及條件》內的風險披露聲明之所有內容和細則。關於上述各項所有內容和細則，本人(等)(客戶)已獲邀請尋求獨立法律意見，並完全明白及接受所有其內容和細則。

Client Signature 客戶簽署：_____ (1)	Client Signature 客戶簽署：_____ (2)
Name of Client 客戶姓名：_____ (1)	Name of Client 客戶姓名：_____ (2)
Date 日期：_____ (1)	Date 日期：_____ (2)
Name of Witness 見證人名稱：_____	Signature of Witness 見證人簽署：_____

**Risk Statements 風險聲明** (to be completed by staff 此欄由職員填寫)

Name of Staff \_\_\_\_\_ CE No. \_\_\_\_\_ Representing: BS Securities BS Futures BSGB BSFL  
職員姓名：\_\_\_\_\_ 中央編號：\_\_\_\_\_ 代表： 耀才證券  耀才期貨  耀才金業  耀才外匯  
I have clearly explained the Risk Disclosure Statements as set out in the "Terms and Conditions for Trading Account" in a language of the Client's choice and have also invited and suggested the Client to ask questions and to seek independent legal advice.  
本人已根據客戶所選擇的語言向其提供及解釋《交易帳戶條款及條件》內的風險披露聲明，並已邀請及建議客戶就其內容和細則提出問題及尋求獨立法律意見。

Signature of Staff \_\_\_\_\_ Position \_\_\_\_\_ Date \_\_\_\_\_  
職員簽署：\_\_\_\_\_ 職位：\_\_\_\_\_ 日期：\_\_\_\_\_