

Bright Smart Securities International (H.K.) Limited (“BS Securities”)  
耀才證券國際(香港)有限公司 (“耀才證券”)  
Bright Smart Futures & Commodities Company Limited (“BS Futures”)  
耀才期貨及商品有限公司 (“耀才期貨”)  
Bright Smart Global Bullion Limited (“BSGB”)  
耀才環球金業有限公司 (“耀才金業”)  
Bright Smart Forex Limited (“BSFL”)  
耀才環球外匯有限公司 (“耀才外匯”)

BS Securities is licensed to carry on Type 1 regulated activity (dealing in securities) under the Securities and Futures Ordinance (CE No. AEZ575).

耀才證券獲發牌經營第一類受規管活動(證券交易)(中央編號：AEZ575)。

BS Futures is licensed to carry on Type 2 regulated activity (dealing in futures contracts) under the Securities and Futures Ordinance (CE No. ADH427).

耀才期貨獲發牌經營第二類受規管活動(期貨合約交易)(中央編號：ADH427)。

BSGB carries on the business of dealing in Bullion.

耀才金業經營貴金屬交易的業務。

BSFL is licensed to carry on Type 3 regulated activity (leveraged foreign exchange trading) under the Securities and Futures Ordinance (CE No. AZK567).

耀才外匯獲發牌經營第三類受規管活動(槓桿式外匯交易)(中央編號：AZK567)。

Account No 帳戶號碼：\_\_\_\_\_

Date 日期：\_\_\_\_\_

Head Office : 10/F, Wing On House, 71 Des Voeux Road Central, H.K.

總辦事處 : 香港中環德輔道中 71 號永安集團大廈 10 樓全層

Website 網址 : www.bsgroup.com.hk

Tel 電話 : (852) 2537 1371 Fax 傳真 : (852) 2537 8031

**ACCOUNT OPENING FORM 開戶表格**  
**(Individual 個人 / Joint 聯名)**

**A. ACCOUNT TYPE 帳戶類別**

**A1. Entity of Client 客戶身份**

Individual Account 個人帳戶

Joint Account 聯名帳戶

**A2. Account Type(s) 帳戶類別**

Hong Kong and Global Securities A/C  
香港及環球證券帳戶

Cash A/C  
現金帳戶

Hong Kong and Global Securities A/C 香港及環球證券帳戶  
 Capital Investment Entrant Scheme A/C 投資移民帳戶

Hong Kong and Global Securities A/C  
香港及環球證券帳戶

Margin A/C  
保證金帳戶

Hong Kong and Global Securities A/C 香港及環球證券帳戶  
 Hong Kong Day Trade A/C 香港壹開拾帳戶 (國內人仕不適用)  
 Installments Scheme 分期供款計劃  
 Hong Kong Stock Options A/C 香港股票期權帳戶

Hong Kong and Global Futures A/C  
香港及環球期貨帳戶

Hong Kong and Global Futures A/C 香港及環球期貨帳戶  
 Hong Kong Day Trade Futures A/C 香港期貨壹開貳帳戶 (國內人仕不適用)  
 Hong Kong Day Trade Futures A/C 香港期貨壹開伍帳戶 (國內人仕不適用)

Bullion A/C 貴金屬帳戶

Bullion A/C (HKD) 貴金屬帳戶 (港元)  
 Bullion A/C (USD) 貴金屬帳戶 (美元)

Leveraged Forex A/C 槓桿式外匯帳戶

Leveraged Forex A/C (HKD) 槓桿式外匯帳戶 (港元)  
 Leveraged Forex A/C (USD) 槓桿式外匯帳戶 (美元)

Other A/C 其他帳戶: \_\_\_\_\_

**A3. Method of Placing Order 落盤方式**

By Telephone (Manual) 電話專人落盤

By Electronics 電子落盤

**B. CLIENT INFORMATION 客戶資料****Account Holder (1) 帳戶持有人(1)****Account Holder (2) 帳戶持有人(2) (For Joint Account 適用於聯名帳戶)****B1. Personal Information 個人資料**

Name: Mr. / Ms.\* (\* Please delete if not applicable)

姓名：先生 / 女士 \* (\* 請刪去不適用者)

English

英文：\_\_\_\_\_

Chinese

中文：\_\_\_\_\_

ID Card / Passport No.

身份證 / 護照號碼：\_\_\_\_\_

Country of Tax Residence (Refers to Mainland China or Hong Kong)

報稅地區 (指內地或香港)：

 Hong Kong 香港  Mainland China 內地

If the country of tax residence is neither Mainland China nor Hong Kong, please state your Taxpayer Identification Number or equivalent number (TIN)

如閣下的報稅地區並非內地或香港,請列明閣下的報稅地區,稅務編號或同等編號。

\_\_\_\_\_

Place of birth

出生地點：\_\_\_\_\_

Nationality

國籍：\_\_\_\_\_

Date of birth

出生日期：\_\_\_\_\_

Residential Address 住址：

Province 省份:\_\_\_\_\_ City 市:\_\_\_\_\_

Home Tel. No.

住宅電話號碼：\_\_\_\_\_

Office Tel. No.

公司電話號碼：\_\_\_\_\_

Mobile Phone No.

手提電話號碼：\_\_\_\_\_

Fax No.

傳真號碼：\_\_\_\_\_

Email Address

電郵地址：\_\_\_\_\_

CCASS Investor Account Name &amp; No. (if any)

中央結算投資者戶口名稱及號碼(如有)：\_\_\_\_\_

Name: Mr. / Ms.\* (\* Please delete if not applicable)

姓名：先生 / 女士 \* (\* 請刪去不適用者)

English

英文：\_\_\_\_\_

Chinese

中文：\_\_\_\_\_

Relationship with Account Holder (1) 與帳戶持有人 (1) 的關係：

 Immediate Family 直系親屬 Please specify 請註明：\_\_\_\_\_ Relatives 親屬  Friends 朋友  Others 其他：\_\_\_\_\_

ID Card / Passport No.

身份證 / 護照號碼：\_\_\_\_\_

Country of Tax Residence (Refers to Mainland China or Hong Kong)

報稅地區 (指內地或香港)：

 Hong Kong 香港  Mainland China 內地

If the country of tax residence is neither Mainland China nor Hong Kong, please state your Taxpayer Identification Number or equivalent number (TIN)

如閣下的報稅地區並非內地或香港,請列明閣下的報稅地區,稅務編號或同等編號。

\_\_\_\_\_

Place of birth

出生地點：\_\_\_\_\_

Nationality

國籍：\_\_\_\_\_

Date of birth

出生日期：\_\_\_\_\_

Residential Address 住址：

Province 省份:\_\_\_\_\_ City 市:\_\_\_\_\_

Home Tel. No.

住宅電話號碼：\_\_\_\_\_

Office Tel. No.

公司電話號碼：\_\_\_\_\_

Mobile Phone No.

手提電話號碼：\_\_\_\_\_

Fax No.

傳真號碼：\_\_\_\_\_

Email Address

電郵地址：\_\_\_\_\_

CCASS Investor Account Name &amp; No. (if any)

中央結算投資者戶口名稱及號碼(如有)：\_\_\_\_\_

**B2. Employment Status 工作狀況**

Name of Employer  
僱主名稱：\_\_\_\_\_

Office Address  
公司地址：\_\_\_\_\_

Nature of Business  
業務性質：\_\_\_\_\_

Position  
職位：\_\_\_\_\_

Years of Service  
服務年資：\_\_\_\_\_

Name of Employer  
僱主名稱：\_\_\_\_\_

Office Address  
公司地址：\_\_\_\_\_

Nature of Business  
業務性質：\_\_\_\_\_

Position  
職位：\_\_\_\_\_

Years of Service  
服務年資：\_\_\_\_\_

**B3. Financial Profile 財務狀況**

Annual Income 每年收入 (HK\$港幣)：  
 <\$500,000     \$500,000 ~ \$1,000,000     \$1,000,001 ~ \$2,000,000     >\$2,000,000

Total Net Value of Assets 資產淨值 (HK\$港幣)：  
 <\$1,000,000     \$1,000,000 ~ \$3,000,000     \$3,000,001 ~ \$8,000,000     >\$8,000,000

Ownership of Residence 住宅業權：  
 Owned 自置     Rented 租用     Mortgaged 按揭  
 Living with Family 與家人同住

Address of Any Owned or Mortgaged Property  
如有自置或按揭物業，請填寫物業地址：  
 1. \_\_\_\_\_  
 2. \_\_\_\_\_  
 3. \_\_\_\_\_

Annual Income 每年收入 (HK\$港幣)：  
 <\$500,000     \$500,000 ~ \$1,000,000     \$1,000,001 ~ \$2,000,000     >\$2,000,000

Total Net Value of Assets 資產淨值 (HK\$港幣)：  
 <\$1,000,000     \$1,000,000 ~ \$3,000,000     \$3,000,001 ~ \$8,000,000     >\$8,000,000

Ownership of Residence 住宅業權：  
 Owned 自置     Rented 租用     Mortgaged 按揭  
 Living with Family 與家人同住

Address of Any Owned or Mortgaged Property  
如有自置或按揭物業，請填寫物業地址：  
 1. \_\_\_\_\_  
 2. \_\_\_\_\_  
 3. \_\_\_\_\_

**B4. Client's Investment Profile and Knowledge of Derivative Products 客戶投資概況及對衍生產品的認識**

Investment Objective 投資目的：  
 Capital Gain & Growth 資本增值     Hedging 對沖     Dividends Income 股息收益     Others 其他：\_\_\_\_\_

Investment Products and Investment Experience 曾買賣產品及投資經驗：  
 Shares 股票     < 1 year 少於一年     1-5 years 一至五年     6-10 years 六至十年     > 10 years 多於十年  
 CBBC 牛熊證     < 1 year 少於一年     1-5 years 一至五年     6-10 years 六至十年     > 10 years 多於十年  
 Warrants 衍生權證 (窩輪)     < 1 year 少於一年     1-5 years 一至五年     6-10 years 六至十年     > 10 years 多於十年  
 Futures 期貨     < 1 year 少於一年     1-5 years 一至五年     6-10 years 六至十年     > 10 years 多於十年  
 Options 期權     < 1 year 少於一年     1-5 years 一至五年     6-10 years 六至十年     > 10 years 多於十年  
 Others 其他：\_\_\_\_\_     < 1 year 少於一年     1-5 years 一至五年     6-10 years 六至十年     > 10 years 多於十年

Knowledge of Derivative Products 對衍生產品的認識：  
 1. The Client underwent training or attended courses on derivative products; and/or  
 客戶曾接受有關衍生產品的培訓或修讀相關課程；及/或  
 2. The Client has current or previous work experience related to derivative products; and/or  
 客戶現時或過去擁有與衍生產品有關的工作經驗；及/或  
 3. The Client has executed five or more transactions within the past three years in derivative products, e.g. Derivative Warrants, Callable Bull/Bear Contracts, Stock Options, Futures and Options, Commodities, Structured Products, and Exchange Traded Funds, etc.  
 客戶於過去3年曾執行5次或以上有關衍生產品的交易，例如：衍生權證、牛熊證、股票期權、期貨及期權、商品、結構性產品及交易所買賣基金等。

I have the above experience and/or knowledge on derivative product(s).  
 本人有上述一個或以上的經驗。

I do not have the above experience and/or knowledge on derivative product(s), but I confirm that I fully read, agreed and understood the relevant risks of the derivative product(s). I understand that I have to acquire enough understanding on derivative product(s) before trading them and I fully accept all relevant risks.  
 本人沒有上述經驗，但已細閱、同意及明白所有關於衍生產品的風險聲明，本人明白於買賣有關衍生產品前必須先具備足夠的認識，並願意接受一切有關的風險。

BS Securities, BS Futures and BSFL have accessed the Client based on the information provided above, and have notified the Client that it is compulsory to understand the derivative products before trading them. BS Securities, BS Futures and BSFL have also warned the Client about the nature and risk of the derivative products.  
 耀才證券、耀才期貨及耀才外匯已根據以上的資料對客戶進行評估，並已通知客戶買賣有關衍生產品前必須先具備足夠的認識，及提示客戶有關衍生產品之性質及風險。

**B5. Settlement Account Information 結算帳戶資料**

The following bank account is the Settlement Account under and within the meaning in the "Terms and Conditions for Trading Account" and for the relevant settlement arrangements. The Client hereby instructs and authorizes BS Securities, BS Futures, BSGB and BSFL to deposit all payments payable to the Client into the following bank account within reasonable time: BS Securities, BS Futures, BSGB and BSFL shall not be held liable for any loss, expenses or damages suffered by the Client as a result of any delay in depositing such payments caused by any reason whatsoever.

以下的銀行帳戶是《交易帳戶條款及條件》中所提及的結算帳戶，並作有關之結算安排。客戶謹此指示及授權耀才證券、耀才期貨、耀才金業及耀才外匯將所有應支付客戶之款項存入下列之銀行帳戶。耀才證券、耀才期貨、耀才金業及耀才外匯會於合理時間內盡快為客戶存入款項到客戶指定的下列銀行帳戶，耀才證券、耀才期貨、耀才金業及耀才外匯不會對延遲存入該款項而令客戶蒙受任何損失承擔任何法律責任。

Currency 貨幣	Name of Bank 銀行名稱	Account No. 帳戶號碼	Name of Account Holder 帳戶持有人名稱
HKD 港幣	_____	_____	_____
USD 美元	_____	_____	_____
CNY 人民幣	_____	_____	_____

**B6. Method of Communication 通訊方法**

Please select one of the following method of communication 請選擇以下其中一項通訊方法：

- By Mail 郵遞：  
 Residential Address 住宅地址       Office Address 公司地址  
 Others 其他：

(Please note that the statement will be sent to Account Holder (1) by post 請留意結單將以郵遞方式寄到帳戶持有人(1))

- By E-mail 電子郵件

Please be noted that BS Securities, BS Futures, BSGB and BSFL reserve the rights, at their sole discretion to change or modify the method of communication to the Client that BS Securities, BS Futures, BSGB and BSFL deemed appropriate at any time.

無論客戶選擇以郵遞或電子郵件作為通訊方法，耀才證券、耀才期貨、耀才金業及耀才外匯均有最終決定權使用任何其認為適當的通訊方式與客戶進行通訊。

**C. AUTHORIZED PERSON 獲授權人士**

I (the Client) hereby agree and appoint the following person as the Authorized Person as defined in the "Terms and Conditions for Trading Account". All account(s) maintained by the Client can be operated on the instructions of the Authorized Person. I (the Client) hereby declare that I (the Client) shall be fully responsible for any instructions given or purported to be given by the Authorized Person (including but not limiting to the verbal instructions or written instructions), and shall fully indemnify BS Securities, BS Futures, BSGB and BSFL against all damages, losses, costs and expenses whatsoever incurred as a result of any act, inactivity or omission of the Authorized Person.

本人(客戶)謹此委任下列人士作為《交易帳戶條款及條件》中所定義的獲授權人士。客戶持有之所有帳戶可根據獲授權人士之指示運作。本人(客戶)茲聲明對由獲授權人士或聲稱為獲授權人士所發出之任何指示(包括但不限於口頭指示或書面指示)承擔全部責任，並會就耀才證券、耀才期貨、耀才金業及耀才外匯因該等獲授權人士之任何行為，不作為或遺漏而引致的一切賠償、損失、費用及開支向耀才證券、耀才期貨、耀才金業及耀才外匯作出全部彌償。

When I, the Authorized Person listed below, after signing in the signature column herein below, indicated that I accept the abovementioned Client's authorization to become the Authorized Person. I hereby agree that shall be fully responsible for all the liabilities arising from the Client's relevant account(s) with BS Securities and/or BS Futures and/or BSGB and/or BSFL and shall fully indemnify BS Securities, BS Futures, BSGB and BSFL against all damages, losses, costs and expenses whatsoever incurred as a result of any act, inactivity or omission of the Client and/or myself.

當本人(獲授權人士)於下列簽署欄內簽署後，即表示同意接受客戶的授權成為其獲授權人士，並同意承擔客戶之帳戶內之一切責任，亦須就耀才證券、耀才期貨、耀才金業及耀才外匯因客戶及/或本人之任何行為，不作為或遺漏而引致的一切賠償、損失、費用及開支向耀才證券、耀才期貨、耀才金業及耀才外匯作出全部彌償。

Name of Authorized Person 獲授權人士姓名：	ID Card / Passport No. 身份證 / 護照號碼：	Accepted and Signed by Authorized Person 獲授權人士接納和簽署：
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Relationship between Authorized Person and Client 客戶與獲授權人士之關係：	Contact No. 聯絡電話：	Confirmed and Signed by Client 由客戶確認及簽署：
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**D. DECLARATION 聲明****D1. Identity Declaration 身份聲明**

D1.1. Does the Client have any relationship with the director(s) or employee(s) of BS Securities, BS Futures, BSGB or BSFL or their respective associated companies?  
客戶是否與耀才證券、耀才期貨、耀才金業或耀才外匯或其各自之聯營公司之董事或僱員有任何關係？

- No       Yes, the Client has the following relationship with the director(s) or employee(s) of BS Securities, BS Futures, BSGB or BSFL or their respective associated companies:  
否      是，客戶與耀才證券、耀才期貨、耀才金業或耀才外匯或其各自之聯營公司之董事或僱員有以下關係：

(Name of Director or Employee 董事或僱員姓名 / Relationship 關係)

D1.2. Is the Client a director or an employee or an accredited person of any exchange participant of the Hong Kong Exchange or any licensed or registered person of the Securities and Futures Commission? 客戶是否香港交易所之交易所參與者或證監會之持牌人或註冊人之董事、僱員或認可人士？

- No       Yes, the Client is a director or an employee or an accredited person of the following exchange participant of the Hong Kong Exchange or licensed or registered person of the Securities and Futures Commission: (Please provide details)  
否      是，客戶為以下香港交易所之交易所參與者或證監會之持牌人或註冊人之董事、僱員或認可人士：(請詳述)

(Please provide employer's consent letter 請提供僱主之書面同意書)

D1.3. The Client is the ultimate and sole beneficial owner(s) of the Account(s) and is fully responsible for all instructions for the operation of the said Account(s).  
客戶是帳戶的最終及唯一實益擁有人，並完全負責為該(等)帳戶運作所發出的一切指示。

D1.4. The Client hereby declares that he or she is not a United States resident for Foreign Account Tax Compliance Act (FATCA) purposes, and the income to which the said Account(s) related is not subjected to tax under FATCA. The Client undertakes to notify BS Securities, BS Futures, BSGB, BSFL and relevant authorities within 30 days for any change of circumstances stated in the W8BEN Form, without which the Client agrees that the W8BEN Form will be deemed renewed. The Client shall fully indemnify and hold harmless BS Securities, BS Futures, BSGB and BSFL from and against all claims, damages, losses, costs and expenses whatsoever incurred as a result of such non-compliance or omission.

客戶謹此聲明其並非《海外帳戶稅收合規法案》下所定義的美國人士，而且此帳戶下之相關的收入依《海外帳戶稅收合規法案》毋須扣繳稅款。客戶承諾如於 W8BEN 表格中的情況有任何更改，客戶須於 30 天內通知耀才證券、耀才期貨、耀才金業、耀才外匯及相關部門，否則客戶同意該 W8BEN 表格會被當作續期。客戶亦同意悉數對耀才證券、耀才期貨、耀才金業及耀才外匯因客戶違規或遺漏而蒙受的任何索償、損害、損失、費用及開支作出彌償並保證其利益不受損害。

**D2. Related Margin Financing Account(s) 相關保證金融資帳戶 (For Securities Account (Margin) only 只適用於證券帳戶(保證金))**

D2.1. Does the spouse of the Client have a margin account with BS Securities?  
客戶的配偶是否持有耀才證券的保證金帳戶？

No  Yes (please specify): Name of the Spouse Account No.  
否 是 (請詳述)： 配偶姓名： 帳戶號碼：

D2.2. The Client hereby declares and confirms that 客戶特此聲明及確認：

- the Client, either alone or with his/her spouse, is/are not in control of 35% or more of the voting rights of another margin client of BS Securities.  
客戶並沒有單獨或與配偶共同控制耀才證券其他保證金帳戶持有人 35%或以上之表決權。

- the Client does not have a margin account opened by a member of the same group of companies of the Client.  
客戶並沒有以客戶的同一集團公司旗下之公司開立保證金帳戶。

**D3. Client's Declaration, Confirmation and Agreement 客戶聲明、確認及協議**

D3.1. The Client agrees to open the account(s) listed in Section A2 herein ("Account(s)") with BS Securities and/or BS Futures and/or BSGB and/or BSFL, and is bound by the Account Opening Form, "Acknowledgement by Client", the relevant Sections of the "Terms and Conditions for Trading Account" and all the provisions of the rules and guidelines promulgated by BS Securities, BS Futures, BSGB and/or BSFL from time to time (collectively referred to as "All Those Terms"). All Those Terms have been published at www.bsgroup.com.hk. The Client has already been advised by BS Securities, BS Futures, BSGB and/or BSFL to seek independent legal advice for All Those Terms. The Client agrees that BS Securities, BS Futures, BSGB and/or BSFL can request the Client to sign any documents as required under the above said terms and conditions.

Before opening the Account(s) with BS Securities and/or BS Futures and/or BSGB and/or BSFL, the Client has fully read and understood the contents of All Those Terms and the Client agrees, accepts and confirms all their provisions and agrees and accepts to be bound by All Those Terms. The Client's attention is particularly drawn to Section I to Section VIII, Section XII to Section XIV of the "Terms and Conditions for Trading Account".

客戶同意遵守開戶表格、《客戶守則》、《交易帳戶條款及條件》之相關章數的所有條款及條件及其他由耀才證券、耀才期貨、耀才金業及/或耀才外匯不時發出之守則及指引內之所有條款及條件(統稱“該等條款”)開立此開戶表格A2章節所列出的帳戶(“帳戶”)。該等條款亦已刊載於www.bsgroup.com.hk上。耀才證券、耀才期貨、耀才金業及/或耀才外匯已經建議客戶對上述之該等條款尋求獨立法律意見。客戶同意耀才證券、耀才期貨、耀才金業及/或耀才外匯有權要求客戶簽署相關文件就上述有關條款的要求。

客戶於開立帳戶前已經細閱及完全明白所有該等條款之內容，客戶並同意、接受及確認該等條款內所有條款及條件，並同意及接納受該等條款的約束。客戶須特別注意《交易帳戶條款及條件》內之第一至第八章、第十二至第十四章。

D3.2. The Client hereby acknowledges and confirms that the Client has authorized BS Securities, BS Futures, BSGB and BSFL to deal with the Client's moneys, securities, the Collateral and the Margin upon and in accordance with the relevant part of the "Terms and Conditions for Trading Account" (the "Relevant Standing Authority"). The Client acknowledges and confirms that the contents and effects of the Relevant Standing Authority have been explained to the Client, and the Client fully understands:- (i) the contents and effects of the Relevant Standing Authority; and (ii) the Client hereby authorizes BS Securities, BS Futures, BSGB and BSFL to renew the Relevant Standing Authority at their absolute discretion. The Standing Authority (Client Securities) is applicable to the Securities Account (Margin) and Futures Account Clients while it is not applicable to Clients who only hold a Securities Account (Cash). The Standing Authority (Client Money) is applicable to Clients with multiple accounts while it is not applicable to Clients holding one account only.

客戶謹此知悉及確認，已根據《交易帳戶條款及條件》內第十章之適用部分(“適用常設授權”)，授權耀才證券、耀才期貨、耀才金業及耀才外匯處置客戶的金錢、證券、抵押品及保證金。客戶知悉及確認適用常設授權的內容及作用已獲清楚解釋，而客戶亦完全明白(i)適用常設授權的內容及作用；及(ii)客戶已授權耀才證券、耀才期貨、耀才金業及耀才外匯有絕對的酌情權延續適用常設授權。常設授權(客戶證券)適用於證券帳戶(保證金)及期貨帳戶的客戶，並不適用於只持有證券帳戶(現金)的客戶。常設授權(客戶款項)適用於持有有多於一個帳戶的客戶，並不適用於只持有單一帳戶的客戶。

D3.3. The Client acknowledges and confirms the Relevant Standing Authority is required to be renewed annually and the Client has the choice to reject the renewal. If no written objection is received by mail from the Client, it means the Client accepts the extension and to be bound by the renewed Relevant Standing Authority.

客戶知悉及確認適用常設授權每年需延續一次。為方便起見，客戶已授權耀才證券、耀才期貨、耀才金業及耀才外匯每年替客戶自動延續上述授權，客戶可提出反對。如耀才證券、耀才期貨、耀才金業及耀才外匯未收到客戶書面反對，則表示客戶同意及接受延續適用常設授權並繼續受其約束。

D3.4. The Client declares and confirms that all the information provided in this Account Opening Form is true, complete and correct and authorizes BS Securities, BS Futures, BSGB and BSFL to confirm this from any source and the Client shall immediately inform BS Securities, BS Futures, BSGB and BSFL of any change of the aforesaid information.

客戶聲明，所有填寫於本開戶表格的資料均屬真實，完全及正確，並授權耀才證券、耀才期貨、耀才金業及耀才外匯可向任何方面查證。客戶承諾，如客戶提供給耀才證券及/或耀才期貨及/或耀才金業及/或耀才外匯用於開戶的資料有任何的更改及必須立即通知耀才證券、耀才期貨、耀才金業及耀才外匯有關所有提供資料之變更。

D3.5. The Client acknowledges and confirms that the following Licensed Person has already explained the Risk Disclosure Statements as set out in the "Terms and Conditions for Trading Account" in a language of the Client's choice. The Client confirms that the Client has been invited to ask questions in writing and seek independent legal advice, and that the Client fully understood and accepted all the aforesaid Risk Disclosure Statements.

本公司已根據客戶所選擇的語言向其提供及解釋《交易帳戶條款及條件》內的風險披露聲明。客戶亦確認已獲邀請透過書面途徑提出問題及尋求獨立法律意見，並確認客戶已完全明白及接受所有上述的風險披露聲明。

D3.6. The Client acknowledges and confirms to trade derivative products with and through BS Securities and/or BS Futures and/or BSGB and/or BSFL unless otherwise ticked in the box below. The Client confirms and accepts the explanation of risks in trading different derivative products provided by BS Securities, BS Futures, BSGB and/or BSFL. The Client clearly understands the risks that may be caused by trading these derivative products and agrees to bear such risks, as well as to take responsibilities involved.

除非客戶在下面方格內加上剔號，否則客戶同意與耀才證券及/或耀才期貨及/或耀才金業及/或耀才外匯進行衍生產品買賣交易。客戶現確認接受耀才證券、耀才期貨、耀才金業及/或耀才外匯就有關交易各類衍生產品作出之風險解釋，客戶清楚明白相關衍生產品所帶來的風險及須承擔風險及責任。

I/We will NOT trade derivative products with and through BS Securities or BS Futures or BSGB or BSFL. However, if I/We (the Client) start trading derivative products with and through BS Securities and/or BS Futures and/or BSGB and/or BSFL, I/We acknowledge and accept the risks that may be caused by trading these derivative products and agrees to bear such risks, as well as to take responsibilities involved.

本人不會在耀才證券或耀才期貨或耀才金業或耀才外匯進行衍生產品買賣交易。如本人日後決定通過耀才證券及/或耀才期貨及/或耀才金業及/或耀才外匯進行衍生產品買賣交易，即代表本人知悉及接受相關衍生產品所帶來的風險及須承擔風險及責任。

D3.7. The Client acknowledges and confirms that the Client should pay attention to the announcement / notice promulgated on www.bsgroup.com.hk from time to time.

客戶知悉及確認客戶應不時留意於www.bsgroup.com.hk刊載有關公告。

D3.8. I am hereby informed that BS Securities and/or BS Futures and/or BSGB and/or BSFL and/or BS Group Companies intend to use and transfer within BS Group Companies my personal data pursuant to the Personal Data (Privacy) Ordinance/Privacy Policy Statement in the "Terms and Conditions for Trading Account".

本人現獲告知耀才證券及/或耀才期貨及/或耀才金業及/或耀才外匯及/或耀才集團公司擬使用及在耀才集團公司之間轉移本人之個人資料，並會按《交易帳戶條款及條件》內的《個人資料(私穩)政策》條款執行。

I agreed all the terms of the aforesaid Personal Data (Privacy) Ordinance/Privacy Policy Statement. (If client disagree an account cannot not be opened).  
本人同意上述《個人資料(私穩)政策》的所有條款(如不同意恕不能開戶)

D3.9. I hereby declare that after signing in the signature column herein below by myself, indicated that I have already fully read, confirmed, agreed, accepted, understood and is willing to comply with All Those Terms (including all the relevant contents and provisions of this Account Opening Form, "Acknowledgement by Client", the Risk Disclosure Statements, "Terms and Conditions for Trading Account"). In relation to all the above mentioned contents and provisions, I have been invited to seek independent legal advice and have also understood and accepted all the contents and provisions.

本人茲聲明，當本人於下列簽署欄內簽署後，即表示本人已完全細閱、確認、同意、接受、明白及願意遵守該等條款(包括本開戶表格內所有內容、《客戶守則》、《風險披露聲明》及《交易帳戶條款及條件》內的所有條款)。關於上述各項所有條款，本人已獲邀請尋求獨立法律意見，並完全明白及接受所有條款。

本人知悉 貴公司會以此簽名作為本人的簽名式樣記錄，本人明白日後 貴公司可憑本人此簽名式樣執行各種書面指示，本人會就該等指示負上全部責任。

Client Signature

客戶簽署： \_\_\_\_\_ (1)

Client Signature

客戶簽署： \_\_\_\_\_ (2)

Name of Client

客戶姓名： \_\_\_\_\_ (1)

Name of Client

客戶姓名： \_\_\_\_\_ (2)

Date

日期： \_\_\_\_\_ (1)

Date

日期： \_\_\_\_\_ (2)

Name of Witness

見證人名稱： \_\_\_\_\_

Signature of Witness

見證人簽署： \_\_\_\_\_

**Risk Statements 風險聲明** (to be completed by staff 此欄由職員填寫)

Name of Staff

職員姓名： \_\_\_\_\_

CE No.:

中央編號： \_\_\_\_\_

Representing:

代表： 耀才證券  耀才期貨  耀才金業  耀才外匯

BS Securities

BS Futures

BSGB

BSFL

I have clearly explained the Risk Disclosure Statements as set out in the "Terms and Conditions for Trading Account" in a language of the Client's choice and have also invited and suggested the Client to ask questions and to seek independent legal advice.

本人已根據客戶所選擇的語言向其提供及解釋《交易帳戶條款及條件》內的風險披露聲明，並已邀請及建議客戶就其內容和細則提出問題及尋求獨立法律意見。

Signature of Staff

職員簽署： \_\_\_\_\_

Position

職位： \_\_\_\_\_

Date

日期： \_\_\_\_\_